



reduction across all the modes increase stability of the error norm.

In this paper, the convergence properties of the hybrid (multi-grid preconditioned conjugate gradient method) are examined and compared against standard multi-grid and BiCGSTAB methods. Object-oriented programming language (C++) is used to implement each of the solvers as member functions of the data structure. In this way, they can easily be swapped in and out transparent to the problem being solved and be easily monitored using a profiler. For multi-grid, multiple grid objects could be instantiated in a larger "Multigrid" object with the finest grid mapped to the memory space of the original problem. This data structure is schematically illustrated in Fig. 2.

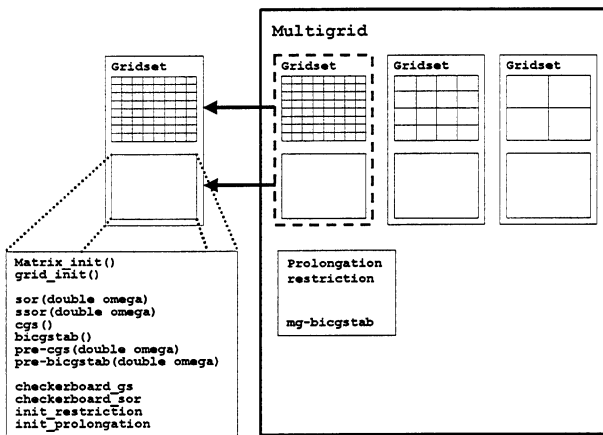


Figure 2: Data structure used in the code

## 2 PRECONDITIONING

The group of solvers called basic iterative methods (BIMs) can be characterized by matrix splitting, where the Hessian is split as  $A = M - N$ . The BIM update scheme, can be written as

$$x^{m+1} = Sx^m + M^{-1}b,$$

where  $S = M^{-1}N$  is called the iteration matrix. Looking at the update equation, the better  $M^{-1}$  (often called the *preconditioning* matrix) approximates the inverse of the Hessian, the less significant the efficacy of the iteration matrix becomes. One of the simpler BIMs, the Jacobi method, gives a preconditioning matrix which simply inverts the diagonal of the Hessian, i.e. assumes  $M^{-1} = D^{-1}$ . For SSOR, the preconditioning matrix is of the form

$$M^{-1} = (D - \omega L) D^{-1} (D - \omega U),$$

where  $L$  and  $U$  are the strictly-lower and the strictly-upper triangular parts of the Hessian and  $\omega$  is the relaxation parameter ( $>1$  for over-relaxation scheme).

In a conjugate gradient-type algorithm, preconditioning could be simply implemented by calculating  $M^{-1}A$ . How-

ever, this matrix multiplication can be very time-consuming, making this approach prohibitively inefficient when dealing with large-scale problems, as is usually the case in 2D and 3D device simulations needed to investigate realistic device structures. As a result of the above, in the actual implementation of the BiCGSTAB method, this multiplication is performed implicitly by preconditioning the residual-based direction vectors. This observation is more clearly seen by looking at the preconditioned BiCGSTAB algorithm given in Fig. 3 and described in more details in Ref. [4].

Let  $x_0 \in \mathfrak{R}^n$ , and set  $p_0 = r_0 = b - Ax_0, r_0^*$  arbitrary.  
 For  $k = 0, 1, 2, \dots$  compute

$$\beta_k = \frac{r_0^* r_{k+1}}{r_0^* r_k} \times \frac{\alpha_k}{\omega_k}$$

$$p_{k+1} = r_{k+1} + \beta_k (p_k - \omega_k A \tilde{p}_k)$$

$$\tilde{p}_k = M^{-1} p_k$$

$$\alpha_k = \frac{r_0^* r_k}{r_0^* A \tilde{p}_k}$$

$$s_k = r_k - \alpha_k A \tilde{p}_k$$

$$\tilde{s}_k = M^{-1} s_k$$

$$\omega_k = \frac{\tilde{s}_k^* A s_k}{A \tilde{s}_k^* A \tilde{s}_k}$$

$$x_{k+1} = x_k + \alpha_k \tilde{p}_k + \omega_k \tilde{s}_k$$

$$r_{k+1} = s_k - \omega_k A \tilde{s}_k$$

while  $r_k > \text{tolerance}$

Figure 3: Preconditioned BiCGSTAB algorithm [4].

The multi-grid scheme also makes use of BIMs for its relaxation steps on each grid. This is due to the quick convergence behavior on oscillatory modes characteristic of BIMs. In conjunction with the coarse-grid operators for restriction and prolongation (given as  $I_h^{2h}$  and  $I_{2h}^h$ , respectively), the smoothers reduce the oscillatory modes on each grid while minimizing the negative affect of aliasing upon further coarsening. At the coarsest level, a BIM can be used to smooth, or even attain the solution explicitly.

Therefore, the multi-grid preconditioner could be expected to include BIM preconditioning matrices in its formulation. For a two-grid scheme, this preconditioning matrix can be represented as,

$$\hat{Q} = M^{-1}S - SI_{2h}^h (A^{2h})^{-1} I_h^{2h} (M^{-1}A - I) + M^{-1},$$

where  $(A^{2h})^{-1}$  represents the coarser grid inverse Hessian [5]. In a multi-grid scheme, this would be replaced by recursive calls of the preconditioner  $\hat{Q}$  at each level.

### 3 SOLVER RESULTS

Solvers were run on a 500 MHz DEC Alpha workstation with 1 GB RAM. The non-uniform tensor-product meshes, used for both the diode and the SOI structure, held roughly 400,000 points, or  $65 \times 145 \times 49$  nodes along the  $x$ ,  $y$  and  $z$ -direction, respectively. For the 3D diode, the convergence properties of 5 different solvers were examined and the results obtained are shown in Fig. 4. The various solvers used include simple SSOR, a conventional 4 level multi-grid (MG), Jacobi preconditioned BiCGSTAB (J-BiCGSTAB), SSOR preconditioned BiCGSTAB (SSOR-BiCGSTAB) and multi-grid preconditioned BiCGSTAB (MGCG). Although there was some improvement, the additional computational overhead of the multi-grid scheme mitigated the advantage. On a parallel processing architecture, this problem could be alleviated. Jacobi preconditioned BiCGSTAB converged a bit more quickly, but the zigzagging behavior became very evident. SSOR preconditioned and multi-grid preconditioned BiCGSTAB schemes showed significant enhancement over the other solvers.

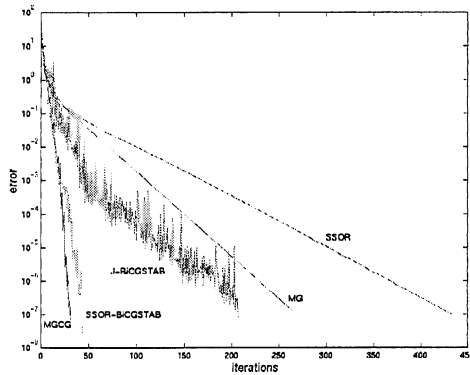


Figure 4: Error reduction for diode structure for the five different solvers examined in this work.

When a more complicated device structure, such as the SOI MOSFET structure from Fig. 1 is solved for, which exhibits nonhomogeneous dielectric permittivity and pronounced 3D features, more iterations are needed toward a given level of error convergence. This is clearly seen from the simulation results shown in Figs. 5 and 6. For example, in Fig. 5 we show the integrated charge density in the plane parallel to the top gate oxide-semiconductor interface. For this particular simulation run, the top gate bias equals  $V_{tg} = -6V$ , while the bottom gate bias is  $V_{bg} = 10V$ . The accumulation regions under the top gate are evident. The charge density in the constriction region, which shows very rapid spatial variation at the edges of the top gate gap, is an important figure of merit.

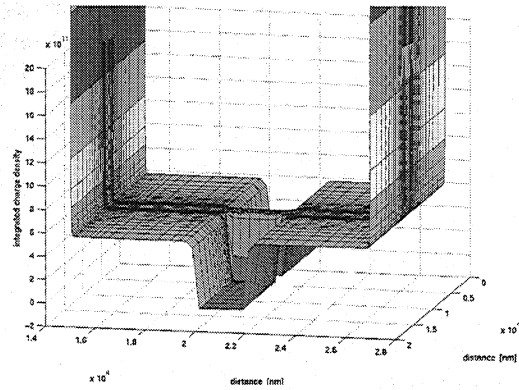


Figure 5: Integrated charge density at top interface.

In Fig. 6, we show the integrated charge density in the constriction as a function of distance from the gate edge for several top gate voltages.

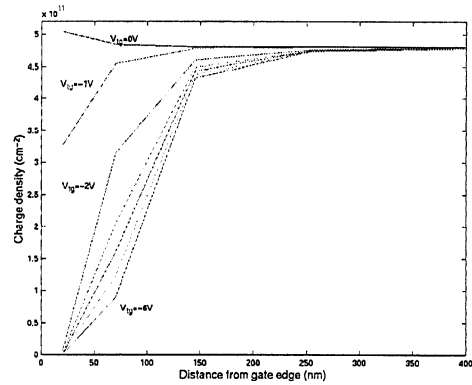


Figure 6: Integrated charge density in constriction

The convergence properties of the SSOR and the multi-grid preconditioned BiCGSTAB method, for top gate  $V_{tg} = 0V$  and back-gate  $V_{bg} = 0V$ , are shown in Fig. 7.

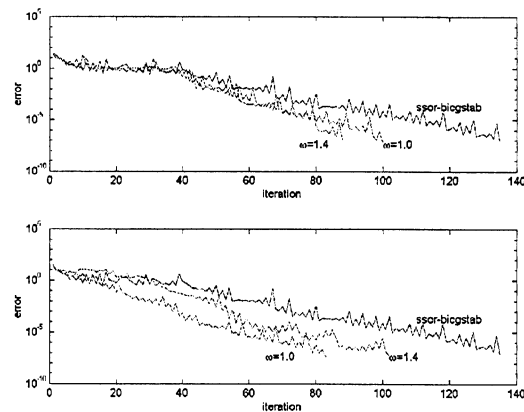


Figure 7: Error reduction for SOI MOSFET.

In the top panel of Fig. 7, two-grid multi-grid preconditioned BiCGSTAB is compared to the SSOR preconditioned BiCGSTAB. The over-relaxation constant,  $\omega = 1.4$  for SSOR, is an optimum value for this problem. In the bottom panel, four grids are used in the multi-grid solver. We can see that with more grids, the error reduces more quickly and more smoothly. However, changing  $\omega$  alters the smooth reduction. As evident from the results shown in Fig. 7, changing  $\omega$  for the multi-grid preconditioners alters the smooth reduction.

#### 4 CONCLUSIONS AND FUTURE WORK

In this work, we have examined the convergence properties of the hybrid, i.e. multi-grid preconditioned BiCGSTAB method (MGCG) on two different test structures: a 3D *pn*-diode and SOI device. We found out that the MGCG method shows superior convergence properties in both cases, when examined in terms of the number of iterations needed to achieve certain convergence threshold.

The results of multi-grid preconditioning of BiCGSTAB, in terms of computational demand were not presented here, but for the simulations that were run, fewer

machine cycles were required than for the SSOR preconditioned scheme. This is significant because the computational demand per iteration for the multi-grid preconditioner was higher. Future work would explicitly show this trend.

#### REFERENCES

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